

# Rules Violations Penalty Form

## Competition Location:

- Charleston     Georgetown     Lexington     Richland  
 Columbia     Greenville     Lex. - Summary     Sumter  
 Conway     Lancaster     Lex. - Westbrook     **STATE**

<b>P</b>	v.	<b>D</b>	
<b>Round:</b> 1    2    3    4			

**Instructions for Presiding Judge:** At the end of the round, pass out the Rules Violations Penalty Forms. Instruct the coaches to come in front of the bar and discuss any rule violations with their teams and that the judging panel (presiding and scoring judges) will be completing a form at the same time. Ask the timekeeper to time two minutes. At the end of the two-minute period, ask the coaches to take a seat, collect the form from the scoring judges, quickly review the form(s) submitted by the judging panel, release the scoring judges, ask the teams if they are raising a dispute. If neither team addressed an issue raised by the judging panel, present the judging panel's allegation to be defended by the identified team. All rules violations are handled by following the hearing procedure set forth in Rule 6.1 (reprinted on the back of this form). If no violations reported at all, check below that no violations occurred, sign this form, and submit to Regional and/or State Coordinator.

**Rule Violation Allegation Made by:**     **Team** \_\_\_\_\_     **Judging Panel**

**Violation:** (to be completed by student attorney spokesperson or member of judging panel only) \_\_\_\_\_

## Response to Rule Violation Allegation:

**Name of Student Attorney Spokesperson:** \_\_\_\_\_ **Team Code:** \_\_\_\_\_

**Response:** (to be completed by student attorney spokesperson only) \_\_\_\_\_

## COMPLETED BY PRESIDING JUDGE

Rules Violations	Penalty Range
<input type="checkbox"/> Arrival Time up to 30 Minutes Late for Each Round (see Rule 1.2 – A) .....	1 – 10 points
<input type="checkbox"/> Student Performance: Costuming, Creation of Scars/Physical Conditions, Physical Traits, Props (see Rule 4.9) .....	2-10 points
<input type="checkbox"/> Failure to Call all Witnesses (see Rules 3.2 and 4.4 – E) .....	Team Forfeits
<input type="checkbox"/> Improper Communication (see Rules 4.4 – I, 4.5 – E, 4.10, 7.7 and 8.7) .....	1 – 10 points
<input type="checkbox"/> Possession of Each Prohibited Electronic Device (Rule 4.14) .....	5 points
<input type="checkbox"/> Unequal Distribution of Tasks	
<input type="checkbox"/> Among the Team (See Rule 3.2 and 3.2 Official Comment) .....	2–8 points
<input type="checkbox"/> Missing Timekeeper (see Rule 3.2 and Rule 4.5 – A) .....	8 points
<input type="checkbox"/> Under or Exceeding Number of Attorneys Permitted on a Team (Rule 3.2 A & B) .....	10 points
<input type="checkbox"/> Any Other Rule Violation Not Stated Above is Subject to Penalty .....	1 – 10 points
<input type="checkbox"/> <b>Creation of Material Fact Violations</b> .....	Separate Form
<input type="checkbox"/> <b>Time Violations Penalty Form</b> .....	Separate Form

## PRESIDING JUDGES' DECISION – ANNOUNCED TO TEAMS

Check one that applies:

- A rule violation occurred and a penalty will be assessed against **Team Code:** \_\_\_\_\_  
 No violation occurred and no penalty will be assessed. (*Only Presiding Judge signs.*)

**Explanation (if necessary):** \_\_\_\_\_

\*\* The presiding judge and scoring judges certify any penalty is to be entered in the Penalty Box in accordance with the rulings of the presiding judge. Judges must **PRINT** their name before submitting the form.

Presiding Judge Name – Printed	(      )
	Presiding Judge – Cell Phone Number

**\*\* STOP - If no disputes, only the presiding judge has to print name.**

Scoring Judge Name #1 – Printed \_\_\_\_\_

Scoring Judge Name #2 – Printed \_\_\_\_\_

Scoring Judge Name #3 – Printed \_\_\_\_\_

## **6.1 DISPUTE RESOLUTION PROCESS (Post Trial)**

For Mock Trial purposes, a violation “inside the bar” aka “in front of the bar” means a rules violation that is committed by a team or team member during the competition round. Violations that occur inside the bar must be raised with the presiding judge after the closing arguments. The dispute process is as follows:

### **1) Distribution of Rules Violation Penalty Forms**

The presiding judge hands both teams and the judging panel a Rules Violation Penalty Form.

### **2) Timed Consultation (In-Person = 2 minutes) (Virtual = 3 minutes)**

Competing team members, coaches, the bailiff, and timekeeper are permitted an allocated time to consult with one another and determine if any rule violations occurred and should be reported on the Rules Violation Penalty Form.

- A. The timekeeper is asked to time on their stopwatches once both teams have received their forms. Because teams will be in discussion and not observing the timecards, the timekeepers will voice, “STOP” when two- minutes have been reached. If the timekeeper has nothing to contribute to the discussion, they should remain seated. Otherwise, they will watch their time while at the counsel table with team members.
- B. If a team believes its opponent committed a violation of the rules, the team decides which student attorney will complete the form and be the team’s student attorney spokesperson to present the team’s position.
- C. During the same initial consultation, the judging panel (presiding and scoring judges) also have an opportunity to raise a rules violation by completing the Rules Violation Penalty Form.

### **3) Collection of Rules Violation Penalty Forms**

The presiding judge collects the Rules Violation Penalty Forms from both teams and the scoring judges.

### **4) Jury Process**

The presiding judge reviews the form submitted by the jury and makes any clarifications, if needed. The jury is dismissed. The violation raised by the jury, if applicable, is not in dispute. The team found in violation is announced.

### **5) Review of Violation Forms Submitted**

The presiding judge reviews violation forms submitted by teams to determine if the violation(s) raised merit a hearing.

- A. If no violations submitted, the dispute process is over.
- B. Denial of a Hearing means that the violation does not merit a hearing and it will not be addressed.
- C. Approval of a Hearing means the violation is to be addressed.
- D. If virtual, the Room Host documents the disputes, responses, and rulings. (And, #6 and #8 below are not applicable.)

### **6) Re-Distribution of Rules Violation Penalty Forms to Opposing Teams**

The presiding judge hands the teams the Rules Violation Penalty Form that has a rules violation raised against them.

### **7) A Second Two-Minute Consultation**

Competing team members, coaches, the bailiff, and timekeeper are permitted up to two minutes to re-consult with one another and prepare a response to the rules violations raised against them on the Rules Violation Penalty Form.

- A. The timekeeper is asked to time on their stop watches up to two minutes once one or both teams have received their forms. Because teams will be in discussion and not observing the timecards, the timekeepers will voice, “STOP” when two minutes has been reached. If the timekeeper has nothing to contribute to the discussion, they should remain seated. Otherwise, they will watch their time while at the counsel table with team members.
- B. The team’s student attorney spokesperson who is to present the team’s position writes the response on the form.
- C. During this time if the objecting team does not have a rules dispute to review, the team sits quietly and does not confer with their team members, coaches, bailiff, or timekeeper.

### **8) Collection of Rules Violation Penalty Forms:** The presiding judge collects all Rules Violation Penalty Forms.

### **9) Review of Completed Rules Violation Penalty Forms**

- A. The presiding judge reviews the responses submitted.
- B. If (P) raised a dispute, the presiding judge will ask the (P) team spokesperson to state the dispute(s) raised. The (D) team spokesperson will be directed to state the team’s response(s).
- C. If the (D) team raised a dispute, the presiding judge will ask the (D) team spokesperson to state the dispute(s) raised. The (P) team spokesperson will be directed to state the team’s response(s).
- D. Attorney coaches, teacher coaches, and visitors are not allowed to address the court regarding a violation complaint. Only the student attorney spokesperson may present a violation and/or defend a violation.
- E. The presiding judge may question the student attorney spokesperson and anyone else in the courtroom at his/her discretion. Neither Coaches nor visitors may communicate/consult with the team or the presiding judge during the hearing unless asked to do so by the presiding judge.

### **10) Conclusion of Hearing**

- A. The presiding judge may declare a brief recess and retire from the courtroom to deliberate.
- B. Upon return, the presiding judge announces the decision(s) in open court.
- C. The presiding judge will announce only the disputes that will have a penalty assessed with the scoring judges. The scoring judges will be presented the Rules Violation Penalty Form and individually assess penalties within the penalty ranges stated for each type of penalty.

- D. Once the dispute process is concluded, violations committed by a team/team member may not be further handled by the presiding judge, the Regional and/or State Mock Trial Coordinator that day or any subsequent days.